

# String Phenomenology: Type II/F-Theory Perspective

Focus on particle physics & D-branes:

I. Type II (w/ D-branes at small string coupling)

→ Standard Model & GUT's

Recent developments: Non-perturbative effects (D-instantons)

→ new hierarchy for couplings

II. F-theory (string theory w/ D-branes at finite coupling)

→ primarily (local) SU(5) GUT's

→ instantons

time permitting

III. Conclusions/outlook

## I. Particle Physics implications (Type II):

Recent focus: landscape of realistic D-brane quivers w/ D-instantons

### MSSM's w/ realistic fermion textures

M.C., J. Halverson, R. Richter, & P. Langacker '09-'10

### General MSSM quivers & Additional Matter

(to make it compatible w/ global constraints) → stringy inputs on exotic matter

M.C., J. Halverson, P. Langacker, 1108... appears tonight on hep-ph  
→ c.f., also J. Halverson's talk in the morning parallel session

## II. D-instantons: recent focus on Type IIB & F-theory

### F-theory aspects (multi-prong approach): zero modes & superpotential

M.C., I. Garcia-Etxebarria, J. Halverson 1107.2388

(also, 1003.5337, 1008.5386 & M.C., I. Garcia-Etxebarria, R. Richter 0911.0012)

# Perturbative String Theories → (finite) theory of quantum gravity

Green&Schwarz'84

Phenomenologically promising

Recent (MSSM): Bouchard, M.C., Donagi'05 ...

Anderson, Gray, Lukas'09... L. Anderson's talk in parallel session

Lebedev, Nilles, Raby, Ramos, Ratz Vaudrevange, Wingerter'07-'09

Heterotic  $E_8 \times E_8$  string

Type IIA superstring  
(closed)

Type IIB superstring  
(closed)

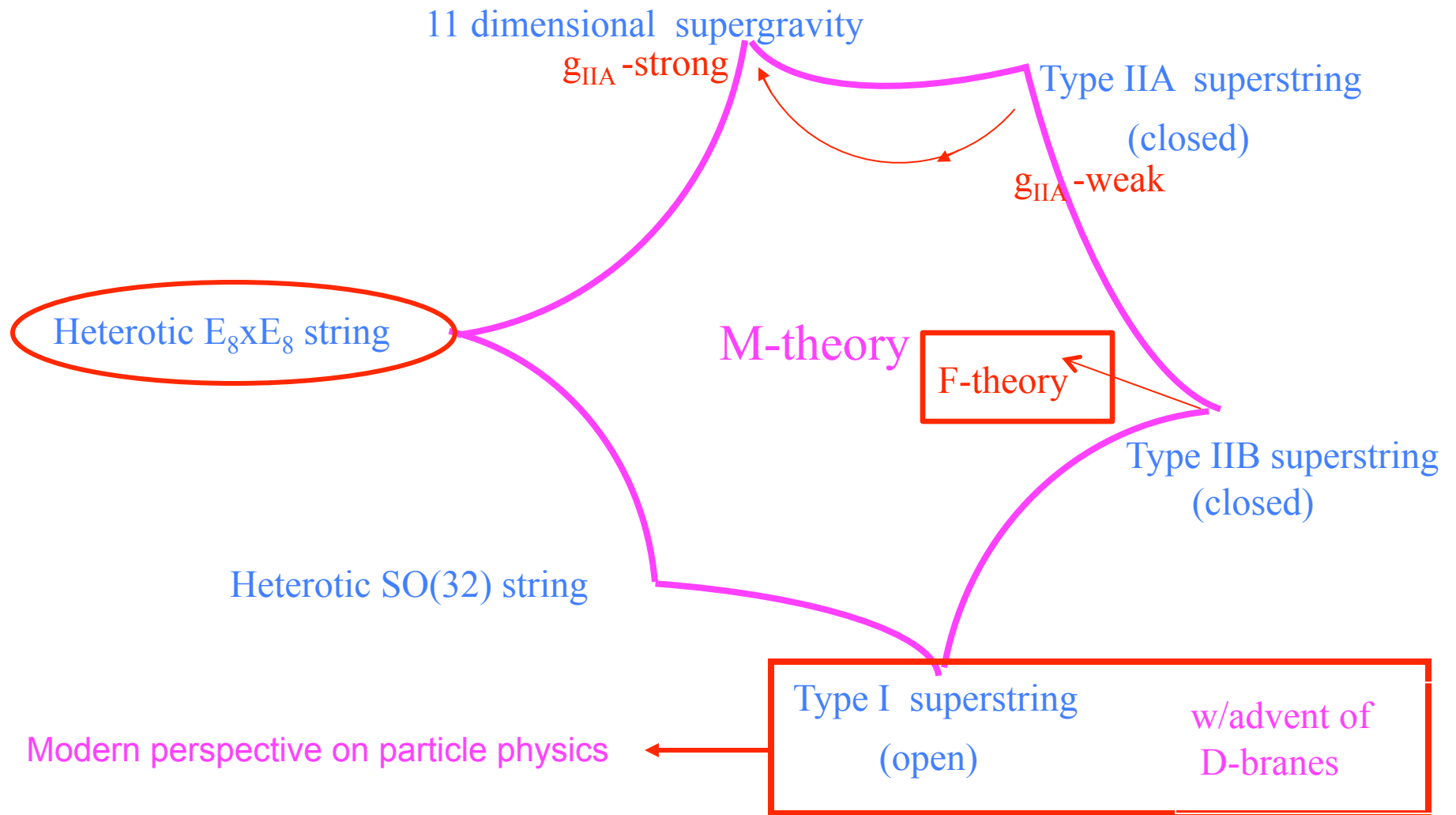
Heterotic  $SO(32)$  string

Type I superstring  
(open)

# Perturbative String Theories → (finite) theory of quantum gravity

Hull&Townsend'94  
Witten'95

## Non-perturbative Unification



Different String Theories related to each other by Weak-Strong Coupling **DUALITY**

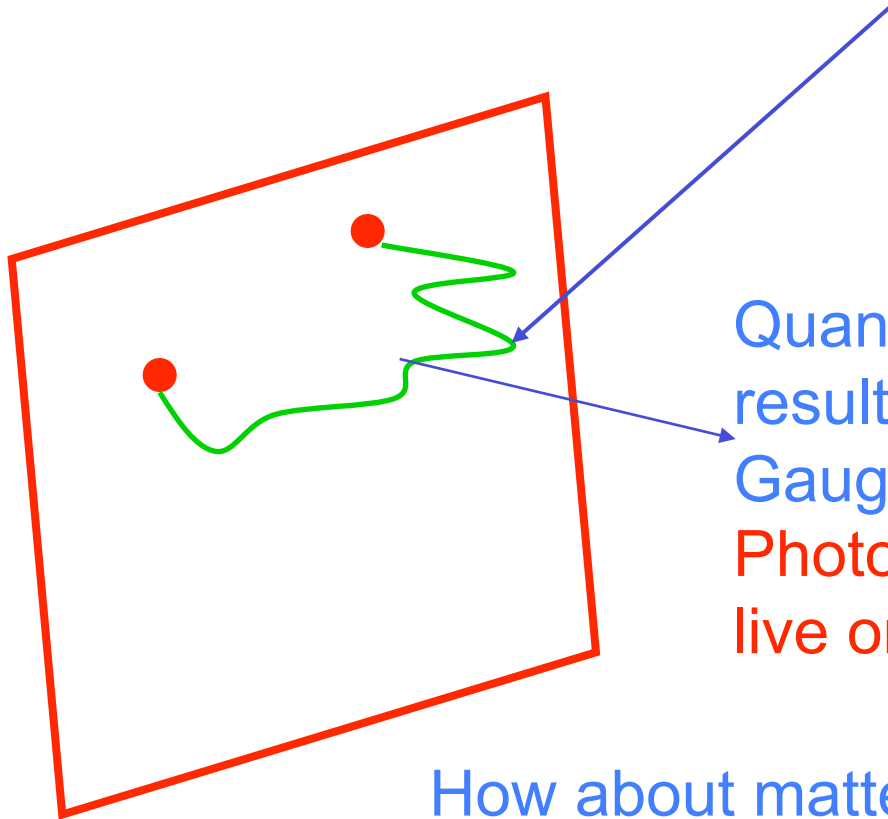
# D-branes & Particle Physics →

Beautiful relation to particles & forces of nature - geometric

Open strings w/ charges at the ends

Ends “attached” to boundary **Dp – branes** Polchinski’95

Extends in  $p+1$  dim. world-volume



Quantum theory-string excitations  
result in MASSLESS spin -1

Gauge bosons →

Photon, gluon, W,Z-bosons  
live on the D-branes!

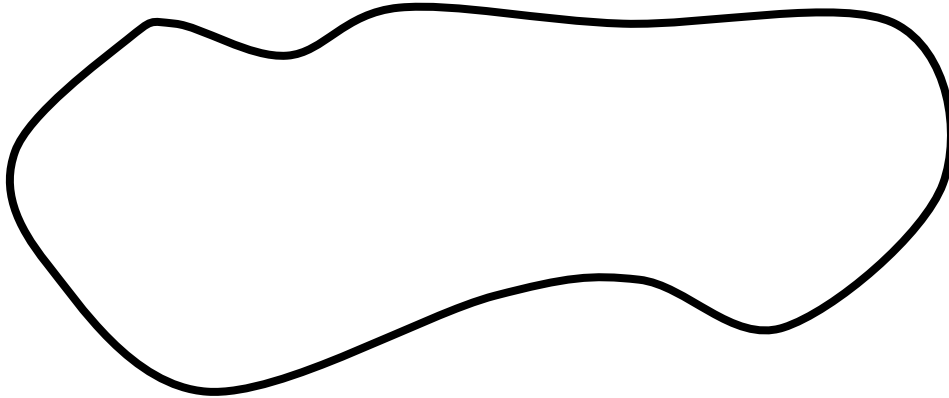
How about matter → compactification

# Compactification

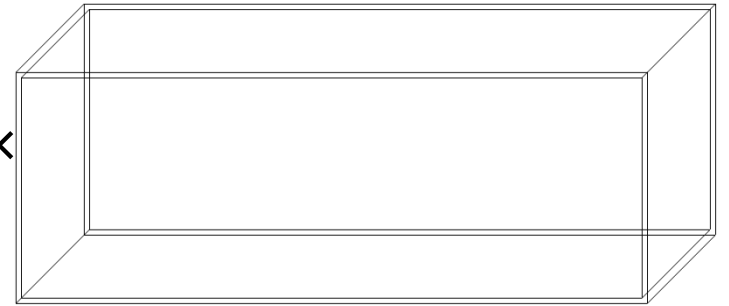
$D=9+1$    $D=3+1$



$X_6$ -special space (Calabi-Yau)  $\times$   $M_{(1,3)}$ -flat



$\times$

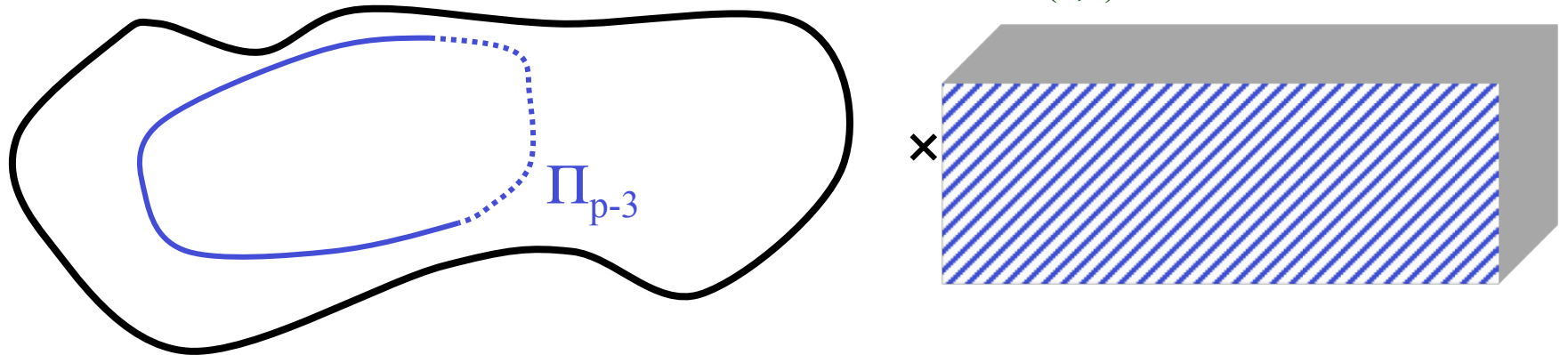


# Compactification

$D=9+1$   $\xrightarrow{\hspace{10em}}$   $D=3+1$



$X_6$ -special space (Calabi-Yau)  $\times$   $M_{(1,3)}$ -flat



**D p-branes – extend in  $p+1$  dimensions:**

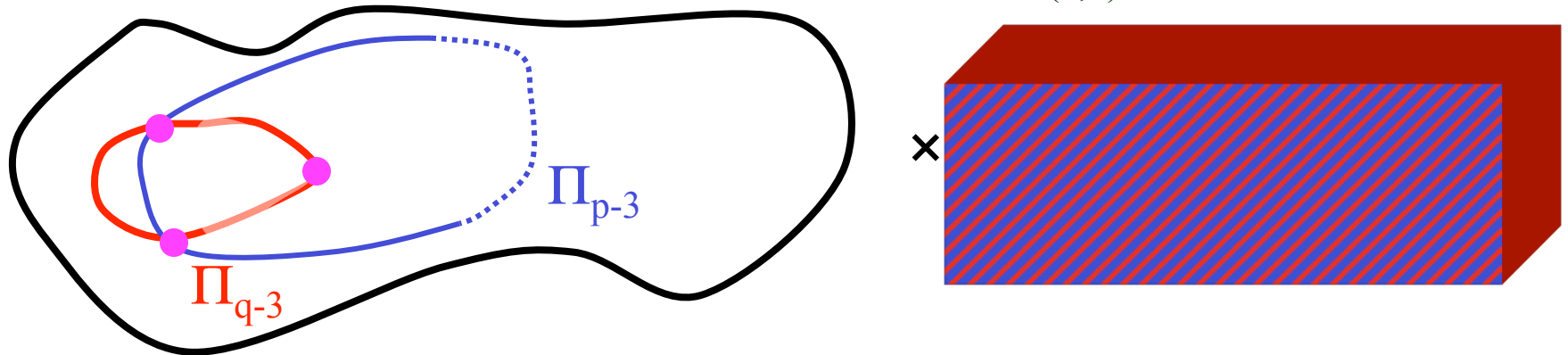
**3+1-our world  $M_{(3,1)}$  ;(p-3)-wrap  $\Pi_{p-3}$  cycles of  $X_6$**

# Compactification

$D=9+1 \longrightarrow D=3+1$



$X_6$ -special space (Calabi-Yau)  $\times$   $M_{(1,3)}$ -flat



**D p-branes – extend in  $p+1$  dimensions:**

**3+1-our world  $M_{(3,1)}$ ;  $(p-3)$ -wrap  $\Pi_{p-3}$  cycles of  $X_6$**

**D q-branes – extend in  $q+1$  dimensions:**

**3+1-our world  $M_{(3,1)}$ ;  $(q-3)$ -wrap  $\Pi_{q-3}$  cycles of  $X_6$**

$$\begin{aligned} \Pi_{q-3} \cap \Pi_{p-3} \\ \Pi_{q-3} \subset \Pi_{p-3} \end{aligned}$$



**Rich  
structure**

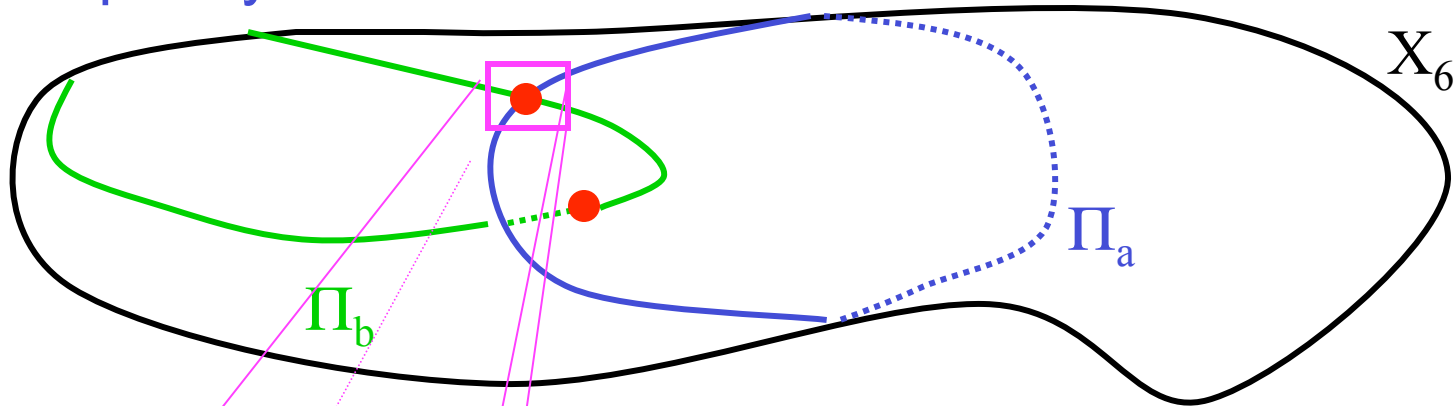
**D-branes at singularities & Wilson lines:** Aldazabal et al. 98....

M.C., Wang & Plümacher'00; M.C. Wang & Uranga'01...



# Intersecting D6-branes

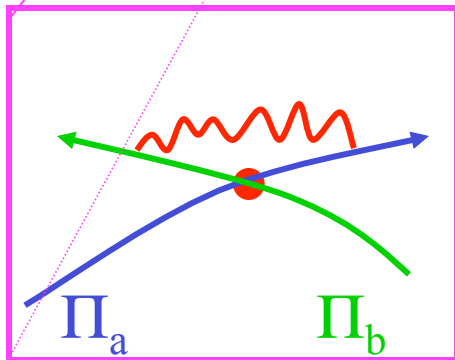
wrap 3-cycles  $\Pi$



In internal space intersect at points:

**Number of intersections  $[\Pi_a] \circ [\Pi_b]$  - topological number**

**Geometric origin of family replications!**



Berkooz, Douglas & Leigh '96

**At each intersection-massless string excitation-  
spin  $\frac{1}{2}$  field  $\psi$  - matter candidate  
Geometric origin of matter!**

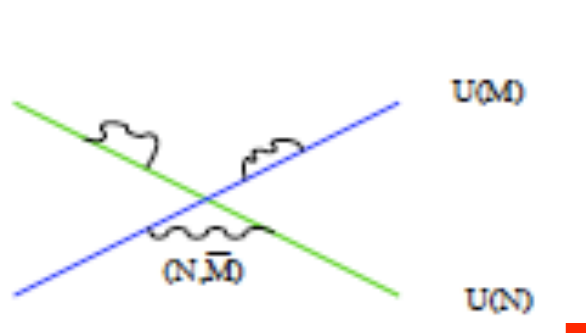
## Type II w/ D-branes →

fertile ground for particle physics model building

[Pedagogical review: TASI'10 lectures, M.C., Halverson arXiv:1101.2907]

Type IIA w/intersecting D-branes → key features of SM & SU(5) GUT spectrum: non-Abelian gauge symmetry, chirality & family replication

Geometric



The diagram shows two intersecting lines, one green and one blue. The green line is labeled  $U(M)$  and the blue line is labeled  $U(N)$ . At the intersection, there are wavy lines representing fields. One wavy line is labeled  $(\bar{a}, b)$  and another is labeled  $(a, \bar{b})$ . Below the intersection, there are two boxes, one labeled  $a$  and one labeled  $\bar{a}$ .

Representation	Multiplicity
$(\bar{a}, b)$	$\pi_a \circ \pi_b$
$(a, \bar{b})$	$\pi_a \circ \pi'_b$
$\begin{array}{ c } \hline a \\ \hline \end{array}$	$\frac{1}{2} (\pi'_a \circ \pi_a + \pi_{O6} \circ \pi_a)$
$\begin{array}{ c } \hline \bar{a} \\ \hline \end{array}$	$\frac{1}{2} (\pi'_a \circ \pi_a - \pi_{O6} \circ \pi_a)$

Large classes (order of 100's) of supersymmetric, globally consistent (Gauss's law for D-brane charge) SM-like & GUT constructions; also coupling calculations

[M.C. ,Shiu, Uranga'01]...

[M.C. Papadimitriou '03],  
[Cremades, Ibáñez, Marchesano'03]...

Recent developments:

New types of D-instantons: introduced to generate certain perturbatively absent couplings for charged sector matter

[Blumenhagen, M.C., Weigand, hep-th/0609191]

[Ibañez, Uranga, hep-th/0609213]

- charged matter coupling corrections

...

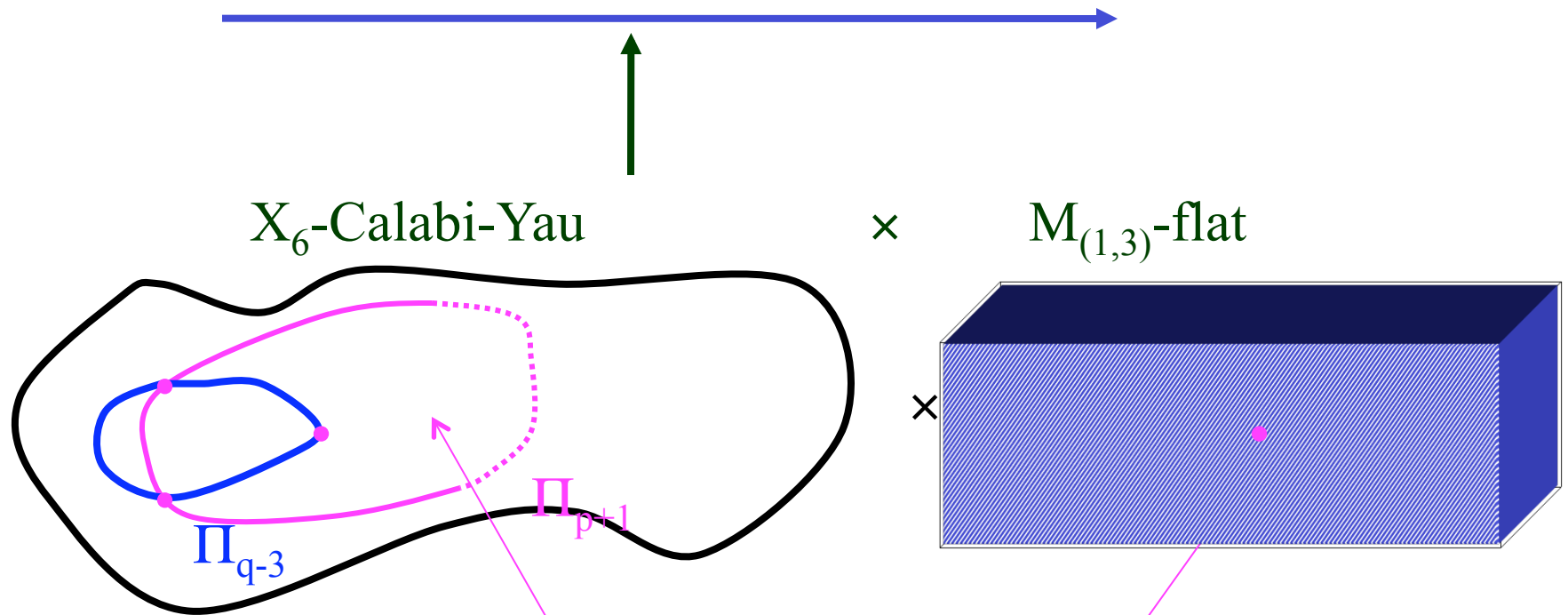
[Florea, Kachru, McGreevy, Saulina, 0610003]

- supersymmetry breaking

Review: [Blumenhagen, M.C., Kachru, Weigand, 0902.3251]

Encoded in non-perturbative violation of ``anomalous''  $U(1)$ 's

# D-Instanton - Euclidean D-brane background



Wraps cycle  $\Pi_{p+1}$  cycles of  $X_6$

point-in 3+1 space-time

New geometric hierarchies for couplings:

$$\mathcal{R}e(e^{-S_{E2}}) = e^{-\frac{2\pi}{\ell_s^3 g_s} \text{Vol}_{E2}} = e^{-\frac{2\pi}{\alpha_{\text{GUT}}} \frac{\text{Vol}_{E2}}{\text{Vol}_{D6}}}$$

stringy!

Instanton can intersect with physical  $D_q$ -brane  
 → charged zero modes generate non-perturbative couplings

# Rigid $O(1)$ instantons $\rightarrow$ direct contribution to superpotential

I. Wrap rigid cycles homologically the same as orientifold cycles-

Neutral zero modes  $\bar{\tau}^{\dot{\alpha}}$  projected out [Argurio et al. 0704.0262]

$\rightarrow$  4 bosonic modes  $x_E^\mu$  & only 2 fermionic modes  $\theta_\alpha$

yield directly superpotential measure:  $\int d^4 x_E d^2 \theta$

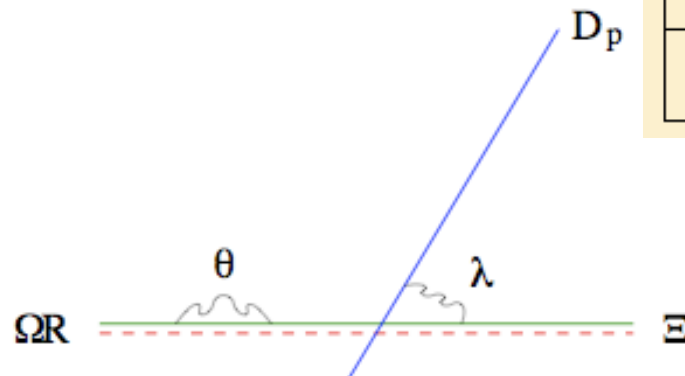
$$W \sim e^{-S_{E2}^{cl}} \prod_i \Phi_i,$$

II. Charged Zero modes from strings between  $E2$  and  $D6_a$ :

$\rightarrow$  Localized at each intersection of  $E2$  and  $D6_a$ :

One fermionic zero mode  $\lambda_a$  per intersection

Stringy & Geometric!



Zero modes	Reps	Number
$\lambda_{a,I}$	$(-1_E, \square_a)$	$I = 1, \dots, [\Xi \cap \Pi_a]^+$
$\bar{\lambda}_{a,I}$	$(1_E, \bar{\square}_a)$	$I = 1, \dots, [\Xi \cap \Pi_a]^-$
$\lambda_{a',I}$	$(-1_E, \bar{\square}_a)$	$I = 1, \dots, [\Xi \cap \Pi'_a]^+$
$\bar{\lambda}_{a',I}$	$(1_E, \square_a)$	$I = 1, \dots, [\Xi \cap \Pi'_a]^-$

III. Develop conformal field theory instanton calculus

[Blumenhagen, M. C., Weigand, hep-th/0609191, ...]

Building blocks: disc-level couplings of two  $\lambda$  modes to

matter  $\Phi_{ab}$ :  $S = \int_{\Xi} \lambda_a \Phi_{ab} \bar{\lambda}_b$

## Specific Examples:

- i Majorana neutrino masses original papers,...
- ii Nonpert. Dirac neutrino masses [M.C.,Langacker, 0803.2876]
- iii  $10\ 10\ 5$  GUT couplings

[Blumenhagen,M.C.,Lüst,Richter,Weigand,0707.1871]

one-instanton effect  $\longrightarrow g_s \rightarrow 1$  (M-theory on  $G_2$ )

- iv Polonyi-type couplings  $\longrightarrow$

[Aharony,Kachru,Silverstein 0708.0493] [MC,Weigand 0711.0209,0807.3953]

[Heckman,Marsano,Saulina,Schafer-Nameki,Vafa 0808.1286] ...

Examples of such instanton induced hierarchical couplings primarily  
for local Type IIA toroidal orbifolds  $SU(5)$  GUT's



Challenge: global models  $\rightarrow$  Type I/IIB/F-theory (algebr. geom.)

- i. Type I GUT's on compact elliptically fibered Calabi-Yau  
First global chiral (four-family)  $SU(5)$  GUT's w/ D-instanton  
generated Polonyi & Majorana neutrino masses

[M.C., T. Weigand, 0711.0209, 0807.3953]

- ii. Global Type IIB GUT's :  $10^{10} 5_H$  non-perturbative coupling  
(two family)  $SU(5)$  GUT on CY as hypersurface in toric variety

[Blumenhagen, Grimm, Jurke, Weigand, 0811.2938]

- iii. Global F-theory lift [M.C., I. Garcia-Etxebarria, J. Halverson, 003.5337]

[Develop a code to calculate zero modes/spectrum in Type IIB and F-theory on  
toric varieties; code w/ new efficient technique  $\rightarrow$

[Blumenhagen, Jurke, Rahn & Roschy, 1003.5217] ]

Most examples w/  $O(1)$  instantons addressed  $SU(5)$  GUT's  
How about Standard Model?

Adressed for local Madrid quiver [Ibanez,Richter, 0811.1583] 

Systematic Analysis of D-Instanton effects for MSSM's quivers  
(compatible with global constraints)

Landscape analysis of MSSM w/  
realistic fermion textures

[M.C., J. Halverson, R. Richter, 0905.3379;  
0909.4292; 0910.2239]

Stringy Weinberg operator neutrino masses  
(examples of low string scale)

[M.C., J. Halverson, P. Langacker, R. Richter, 1001.3148]

Singlet-extended MSSM landscape

[M.C. J. Halverson, P. Langacker, 1006.3341]

→ c.f. J. Halverson's talk in the parallel session

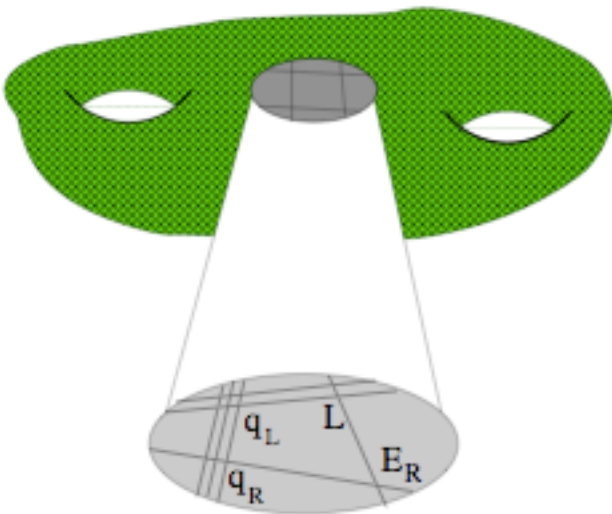
Bottom-up approach initiated [Aldazabal,Ibanez,Quevedo,Uranga'00]..

Related recent works: Specific 3-stack [Leontaris, 0903.3691]

Madrid quiver [Anastasopoulos, Kiritsis, Lionetto, 0905.3044]


$SU(5)$  GUT's [Kiritsis, Lennek, Schellekens, 0909.0271]...

MSSM at toric singularities: [Krippendorff, Dolan,Maharana,Quevedo,1002.1790, 1106.6039]





## Approach: Bottom-up quivers

Since spectrum and couplings **geometric**   
efficient **classification of key physics**  
[compatible w/ global constraints, but without delving into  
specifics of globally defined string compactifications]  
[global conditions  $\leftrightarrow$  Gauss's law for D-brane charge  $\leftrightarrow$  stringy]

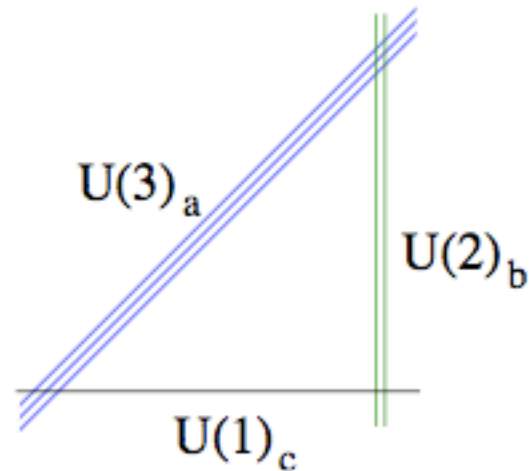
Quiver data: massless **spectrum** &  
examination of **couplings**  
[both **perturbative** & **non-perturbative w/O(1)-inst.**]



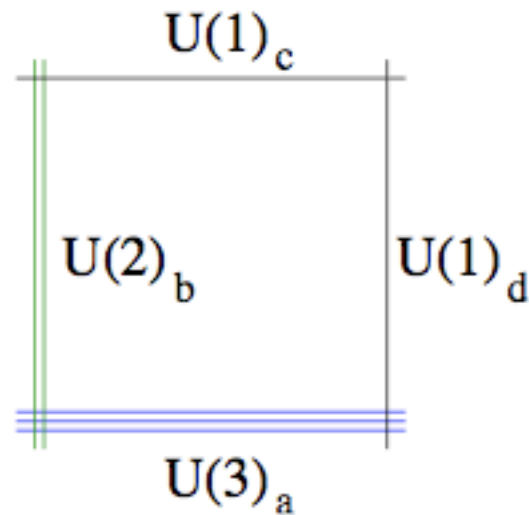
Probe “quiver landscape”  
to identify **realistic quivers** in the landscape of string vacua

## Multi-stack MSSM quivers

Employ three-stack MSSM  $U(3)_a \times U(2)_b \times U(1)_c$



& four-stack MSSM  $U(3)_a \times U(2)_b \times U(1)_c \times U(1)_d$



five-stack....

# Four-stack set of MSSM models w/ 3 $N_R$ & potentially viable fermion textures

[M.C., J. Halverson, R. Richter, 0905.3379]

Solution #	$q_L$		$d_R$			$u_R$		$L$			$E_R$			$N_R$				$H_u$				$H_d$
	$(a, b)$	$(a, \bar{b})$	$(\bar{a}, c)$	$(\bar{a}, \bar{d})$	$\Gamma_a$	$(\bar{a}, \bar{c})$	$(\bar{a}, \bar{d})$	$(b, \bar{c})$	$(b, \bar{d})$	$(\bar{b}, \bar{d})$	$(c, \bar{d})$	$\perp_c$	$\perp_d$	$\top_b$	$\top_{\bar{b}}$	$(c, \bar{d})$	$(\bar{c}, \bar{d})$	$(b, c)$	$(\bar{b}, c)$	$(b, \bar{d})$	$(\bar{b}, \bar{d})$	$(\bar{b}, \bar{c})$
1	3	0	3	0	0	0	3	0	0	3	0	0	3	2	0	0	1	0	0	0	1	1
2	3	0	2	0	1	0	3	0	0	3	0	0	3	2	0	0	1	0	0	0	1	1
3	3	0	1	0	2	0	3	0	0	3	0	0	3	2	0	0	1	0	0	0	1	1
4	3	0	0	0	3	0	3	0	0	3	0	0	3	2	0	0	1	0	0	0	1	1
5	3	0	0	0	3	0	3	0	0	3	0	0	3	3	0	0	0	1	0	0	0	1
6	3	0	3	0	0	2	1	0	0	3	0	2	1	2	0	1	0	0	0	0	1	1
7	3	0	3	0	0	3	0	0	0	3	2	1	0	2	0	0	1	0	1	0	0	1
8	3	0	3	0	0	3	0	0	0	3	0	2	1	2	0	0	1	0	1	0	0	1
9	3	0	3	0	0	3	0	0	0	3	1	2	0	2	0	1	0	0	1	0	0	1
10	2	1	3	0	0	1	2	0	0	3	0	0	3	0	0	0	3	1	0	0	0	1
11	2	1	3	0	0	1	2	0	0	3	3	0	0	0	0	3	0	1	0	0	0	1
12	2	1	3	0	0	3	0	0	0	3	3	0	0	0	0	0	3	1	0	0	0	1
13	2	1	3	0	0	3	0	0	1	2	3	0	0	0	0	0	3	0	1	0	0	1
14	1	2	3	0	0	3	0	0	3	0	3	0	0	0	0	0	3	1	0	0	0	1
15	0	3	0	3	0	0	3	3	0	0	0	1	2	0	3	0	0	0	0	1	0	1
16	0	3	0	0	3	0	3	0	3	0	0	0	3	0	3	0	0	1	0	0	0	1
17	0	3	0	0	3	0	3	1	2	0	1	0	2	0	3	0	0	0	0	1	0	1
18	0	3	0	0	3	0	3	3	0	0	2	0	1	0	3	0	0	0	0	1	0	1
19	0	3	0	0	3	0	3	3	0	0	0	1	2	0	3	0	0	0	0	1	0	1
20	0	3	0	0	3	1	2	1	2	0	2	0	1	0	3	0	0	1	0	0	0	1
21	0	3	0	0	3	1	2	1	2	0	0	1	2	0	3	0	0	1	0	0	0	1
22	0	3	0	0	3	1	2	3	0	0	3	0	0	0	3	0	0	1	0	0	0	1
23	0	3	0	0	3	1	2	3	0	0	1	1	1	0	3	0	0	1	0	0	0	1
24	0	3	0	0	3	2	1	0	3	0	3	0	0	0	3	0	0	1	0	0	0	1
25	0	3	0	0	3	2	1	0	3	0	1	1	1	0	3	0	0	1	0	0	0	1
26	0	3	0	0	3	2	1	2	1	0	2	1	0	0	3	0	0	1	0	0	0	1
27	0	3	0	0	3	2	1	2	1	0	0	2	1	0	3	0	0	1	0	0	0	1
28	0	3	0	3	0	3	0	3	0	0	0	3	0	0	3	0	0	1	0	0	0	1
29	0	3	0	2	1	3	0	3	0	0	0	3	0	0	3	0	0	1	0	0	0	1
30	0	3	0	1	2	3	0	3	0	0	0	3	0	0	3	0	0	1	0	0	0	1
31	0	3	0	0	3	3	0	1	2	0	1	2	0	0	3	0	0	1	0	0	0	1

Solutions for hypercharge  $U(1)_Y = \frac{1}{6}U(1)_a + \frac{1}{2}U(1)_c - \frac{1}{2}U(1)_d$  - Madrid embedding

# Concrete 5-stack model (benchmark)

(w/ three mass scales in top, bottom in charged lepton sector)

Sector	Matter Fields	Transformation	Multiplicity	Hypercharge
$ab$	$q_L$	$(a, \bar{b})$	1	$\frac{1}{6}$
$ab'$	$q_L$	$(a, b)$	2	$\frac{1}{6}$
$ac'$	$u_R$	$(\bar{a}, \bar{c})$	2	$-\frac{2}{3}$
$ad'$	$u_R$	$(\bar{a}, \bar{d})$	1	$-\frac{2}{3}$
$aa'$	$d_R$	$\begin{array}{ c } \hline \square \\ \hline \end{array}_a$	3	$\frac{1}{3}$
$bc'$	$H_u$	$(b, c)$	1	$\frac{1}{2}$
$bd'$	$L$	$(\bar{b}, \bar{d})$	3	$-\frac{1}{2}$
$be'$	$H_d$	$(\bar{b}, \bar{e})$	1	$\frac{1}{2}$
$ce'$	$E_R$	$(c, e)$	2	1
$ce$	$N_R$	$(\bar{c}, e)$	1	0
$dd'$	$E_R$	$\begin{array}{ c c } \hline \square & \square \\ \hline \end{array}_d$	1	1
$de$	$N_R$	$(\bar{d}, e)$	2	0

Allows for full (inter- & intra-) family mass hierarchy via “factorization of Yukawa matrices” due to vector-pairs of zero fermion modes-stringy (technical, no time)

# New: Stringy constraints & matter beyond the MSSM

[M.C., J. Halverson, P. Langacker, 1108... tonight on hep-ph ]

→ c.f., also J. Halverson's talk in the morning parallel session

## I. Classify all possible MSSM quivers (three, four stacks)

study the additional matter needed to be compatible  
with the global constraints - stringy inputs on exotic matter

**3-stack analysis:** global conditions ( $T_{a,b,c}=0$ ) constraining, e.g., MSSM w/

$$U(1)_Y = \frac{1}{6} U(1)_a + \frac{1}{2} U(1)_c \quad T_a = 0 \quad T_b = \pm 2n \quad T_c = 0 \bmod 3 \quad \text{with } n \in \{0, \dots, 7\},$$

w/ preferred additions: quasi-chiral Higgs pairs, MSSM singlets  
hyperchargeless SU(2) triplets, &  
various quark anti-quark pairs, all w/ integer el. ch.;  
one (massless) Z' quiver

## 4-stack analysis: richer structure

sizable number of quivers w/ Z', including leptophobic (tuned);  
additional structures: possible  $SH_{\underline{u}}H_{\underline{d}}$ ; v-masses;  
exotics w/ fractional el. ch. ...

## II. Work in progress on axiguons w/ (stringy) quiver embedding

● 105

3-node quivers ( $\leq 5$  additions)

Multiplicity	Matter Additions				
4	$\boxtimes b, (1, 3)_0$	$\boxtimes b, (1, 3)_0$	$\boxplus b, (1, 1)_0$	$(a, \bar{b}), (3, 2)_{\frac{1}{6}}$	$(\bar{a}, \bar{b}), (\bar{3}, 2)_{-\frac{1}{6}}$
4	$\boxtimes b, (1, 3)_0$	$\boxplus b, (1, 1)_0$			
4	$\boxminus b, (1, 3)_0$	$\boxplus b, (1, 1)_0$			
4	$\boxtimes b, (1, 3)_0$	$\boxplus b, (1, 1)_0$	$\boxplus b, (1, 1)_0$	$(b, \bar{c}), (1, 2)_{-\frac{1}{2}}$	$(b, c), (1, 2)_{\frac{1}{2}}$
4	$\boxminus b, (1, 3)_0$	$\boxplus b, (1, 1)_0$	$\boxplus b, (1, 1)_0$	$(b, \bar{c}), (1, 2)_{-\frac{1}{2}}$	$(b, c), (1, 2)_{\frac{1}{2}}$
4	$\boxtimes b, (1, 3)_0$	$\bar{\boxplus} b, (1, 1)_0$	$\bar{\boxplus} b, (1, 1)_0$	$(a, \bar{b}), (3, 2)_{\frac{1}{6}}$	$(\bar{a}, \bar{b}), (\bar{3}, 2)_{-\frac{1}{6}}$
4	$\bar{\boxplus} b, (1, 1)_0$	$\bar{\boxplus} b, (1, 1)_0$			
4	$\bar{\boxplus} b, (1, 1)_0$	$(b, \bar{c}), (1, 2)_{-\frac{1}{2}}$	$(b, c), (1, 2)_{\frac{1}{2}}$		
4	$(b, \bar{c}), (1, 2)_{-\frac{1}{2}}$	$(b, \bar{c}), (1, 2)_{-\frac{1}{2}}$	$(b, c), (1, 2)_{\frac{1}{2}}$	$(b, c), (1, 2)_{\frac{1}{2}}$	
4	$(a, \bar{b}), (3, 2)_{\frac{1}{6}}$	$\boxplus a, (\bar{3}, 1)_{\frac{1}{3}}$	$(b, \bar{c}), (1, 2)_{-\frac{1}{2}}$	$(\bar{a}, \bar{c}), (\bar{3}, 1)_{-\frac{2}{3}}$	$\boxtimes c, (1, 1)_1$
4	$\boxtimes b, (1, 3)_0$	$\boxplus b, (1, 1)_0$	$\boxplus b, (1, 1)_0$	$\boxplus b, (1, 1)_0$	$\boxplus b, (1, 1)_0$
4	$\boxminus b, (1, 3)_0$	$\boxplus b, (1, 1)_0$	$\boxplus b, (1, 1)_0$	$\boxplus b, (1, 1)_0$	$\boxplus b, (1, 1)_0$
4	$\boxminus b, (1, 3)_0$	$\bar{\boxplus} b, (1, 1)_0$	$\bar{\boxplus} b, (1, 1)_0$		
4	$\boxtimes b, (1, 3)_0$	$\bar{\boxplus} b, (1, 1)_0$	$(b, \bar{c}), (1, 2)_{-\frac{1}{2}}$	$(b, c), (1, 2)_{\frac{1}{2}}$	
4	$\boxtimes b, (1, 3)_0$	$(b, \bar{c}), (1, 2)_{-\frac{1}{2}}$	$(b, \bar{c}), (1, 2)_{-\frac{1}{2}}$	$(b, c), (1, 2)_{\frac{1}{2}}$	$(b, c), (1, 2)_{\frac{1}{2}}$
4	$\boxplus b, (1, 1)_0$				
4	$\boxplus b, (1, 1)_0$	$\boxplus b, (1, 1)_0$	$(b, \bar{c}), (1, 2)_{-\frac{1}{2}}$	$(b, c), (1, 2)_{\frac{1}{2}}$	
4	$\boxminus b, (1, 3)_0$	$\boxminus b, (1, 3)_0$	$\bar{\boxplus} b, (1, 1)_0$	$\bar{\boxplus} b, (1, 1)_0$	
4	$\boxminus b, (1, 3)_0$	$\boxminus b, (1, 3)_0$	$\bar{\boxplus} b, (1, 1)_0$	$(b, \bar{c}), (1, 2)_{-\frac{1}{2}}$	$(b, c), (1, 2)_{\frac{1}{2}}$
4	$\boxplus b, (1, 1)_0$	$\boxplus b, (1, 1)_0$	$\boxplus b, (1, 1)_0$	$\boxplus b, (1, 1)_0$	

Multiplicity	Matter Additions				
4	$\boxminus b, (1, 3)_0$	$\boxminus b, (1, 3)_0$	$\boxminus b, (1, 3)_0$	$\bar{\boxminus} b, (1, 1)_0$	$\bar{\boxminus} b, (1, 1)_0$
4	$\boxminus b, (1, 3)_0$	$\boxminus b, (1, 3)_0$	$\boxminus b, (1, 1)_0$		
1	$\boxplus a, (\bar{3}, 1)_{\frac{1}{3}}$	$\boxminus b, (1, 3)_0$	$\boxplus b, (1, 1)_0$	$(a, \bar{c}), (3, 1)_{-\frac{1}{3}}$	
1	$\bar{\boxplus} a, (3, 1)_{-\frac{1}{3}}$	$\boxminus b, (1, 3)_0$	$\boxplus b, (1, 1)_0$	$(\bar{a}, c), (\bar{3}, 1)_{\frac{1}{3}}$	
1	$\boxplus a, (\bar{3}, 1)_{\frac{1}{3}}$	$\boxminus b, (1, 3)_0$	$\boxplus b, (1, 1)_0$	$(a, \bar{c}), (3, 1)_{-\frac{1}{3}}$	
1	$\bar{\boxplus} a, (3, 1)_{-\frac{1}{3}}$	$\boxminus b, (1, 3)_0$	$\boxplus b, (1, 1)_0$	$(\bar{a}, c), (\bar{3}, 1)_{\frac{1}{3}}$	
1	$\boxplus a, (\bar{3}, 1)_{\frac{1}{3}}$	$\bar{\boxminus} b, (1, 1)_0$	$\bar{\boxminus} b, (1, 1)_0$	$(a, \bar{c}), (3, 1)_{-\frac{1}{3}}$	
1	$\bar{\boxplus} a, (3, 1)_{-\frac{1}{3}}$	$\bar{\boxminus} b, (1, 1)_0$	$\bar{\boxminus} b, (1, 1)_0$	$(\bar{a}, c), (\bar{3}, 1)_{\frac{1}{3}}$	
1	$\boxplus a, (\bar{3}, 1)_{\frac{1}{3}}$	$\bar{\boxminus} b, (1, 1)_0$	$(b, \bar{c}), (1, 2)_{-\frac{1}{2}}$	$(b, c), (1, 2)_{\frac{1}{2}}$	$(a, \bar{c}), (3, 1)_{-\frac{1}{3}}$
1	$\bar{\boxplus} a, (3, 1)_{-\frac{1}{3}}$	$\bar{\boxminus} b, (1, 1)_0$	$(b, \bar{c}), (1, 2)_{-\frac{1}{2}}$	$(b, c), (1, 2)_{\frac{1}{2}}$	$(\bar{a}, c), (\bar{3}, 1)_{\frac{1}{3}}$
1	$(a, \bar{b}), (3, 2)_{\frac{1}{6}}$	$(b, \bar{c}), (1, 2)_{-\frac{1}{2}}$	$(\bar{a}, c), (\bar{3}, 1)_{\frac{1}{3}}$	$(\bar{a}, \bar{c}), (\bar{3}, 1)_{-\frac{2}{3}}$	$\boxplus c, (1, 1)_1$
1	$\boxplus a, (\bar{3}, 1)_{\frac{1}{3}}$	$\boxminus b, (1, 3)_0$	$\bar{\boxminus} b, (1, 1)_0$	$\bar{\boxminus} b, (1, 1)_0$	$(a, \bar{c}), (3, 1)_{-\frac{1}{3}}$
1	$\bar{\boxplus} a, (3, 1)_{-\frac{1}{3}}$	$\boxminus b, (1, 3)_0$	$\bar{\boxminus} b, (1, 1)_0$	$\bar{\boxminus} b, (1, 1)_0$	$(\bar{a}, c), (\bar{3}, 1)_{\frac{1}{3}}$
1	$\boxplus a, (\bar{3}, 1)_{\frac{1}{3}}$	$\boxplus a, (\bar{3}, 1)_{\frac{1}{3}}$	$\boxplus b, (1, 1)_0$	$(a, \bar{c}), (3, 1)_{-\frac{1}{3}}$	$(a, \bar{c}), (3, 1)_{-\frac{1}{3}}$
1	$\bar{\boxplus} a, (3, 1)_{-\frac{1}{3}}$	$\bar{\boxplus} a, (3, 1)_{-\frac{1}{3}}$	$\boxplus b, (1, 1)_0$	$(\bar{a}, c), (\bar{3}, 1)_{\frac{1}{3}}$	$(\bar{a}, c), (\bar{3}, 1)_{\frac{1}{3}}$
1	$\boxplus a, (\bar{3}, 1)_{\frac{1}{3}}$	$\boxplus b, (1, 1)_0$	$(a, \bar{c}), (3, 1)_{-\frac{1}{3}}$		
1	$\bar{\boxplus} a, (3, 1)_{-\frac{1}{3}}$	$\boxplus b, (1, 1)_0$	$(\bar{a}, c), (\bar{3}, 1)_{\frac{1}{3}}$		
1	$\boxplus a, (\bar{3}, 1)_{\frac{1}{3}}$	$\boxminus b, (1, 3)_0$	$\boxminus b, (1, 3)_0$	$\boxplus b, (1, 1)_0$	$(a, \bar{c}), (3, 1)_{-\frac{1}{3}}$
1	$\bar{\boxplus} a, (3, 1)_{-\frac{1}{3}}$	$\boxminus b, (1, 3)_0$	$\boxminus b, (1, 3)_0$	$\boxplus b, (1, 1)_0$	$(\bar{a}, c), (\bar{3}, 1)_{\frac{1}{3}}$

# Developments in F-Theory

Vafa'96..

**F-theory** — both geometric features of particle physics w/ intersecting branes & exceptional gauge symmetries common in the heterotic string & string coupling  $g_s$  -finite

**Geometry of F-theory:** Elliptically Fibered Calabi-Yau four-fold (roughly viewed as a “lift” of Type IIB w/ 7-branes &  $g_s$  encoded in  $T^2$  fibration over the base  $B_3$ ) —Weierstrass parameterization

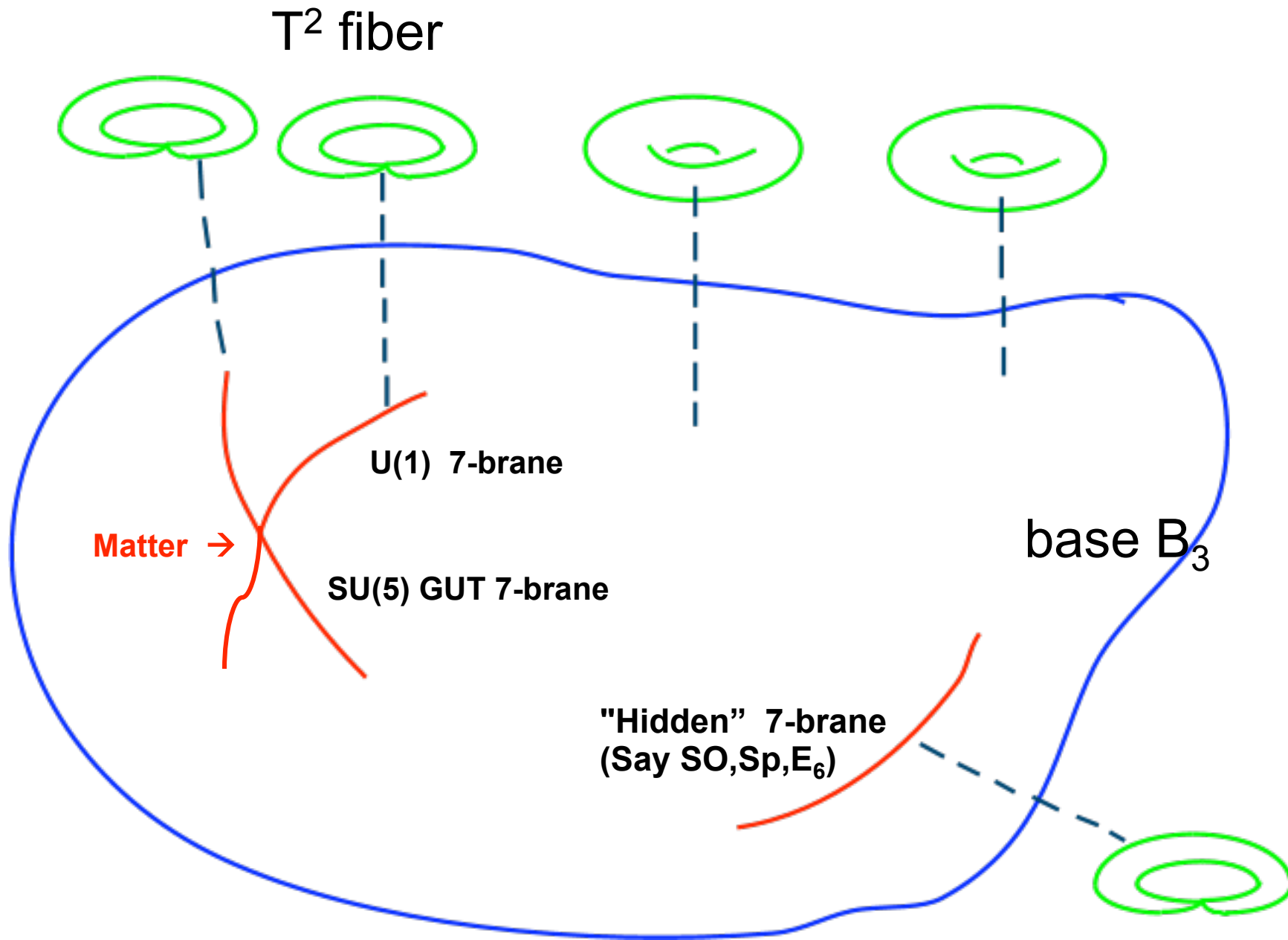
Where fiber degenerates (say for  $T^2$   $pA+qB$  cycle) a co-dim 1 singularity signified a location  $(p,q)$  7-branes in the base  $B_3$ .

**Matter:** Intersecting 7-branes at co-dim 2 singularities **G-flux needed** (for chirality)

**(Semi-) local & limited global SU(5) GUT studies:** appearance of chiral matter (and Yukawa couplings) by studying co-dim two (and three) singularities on the GUT 7-brane. [Donagi, Wijnholt; Beasley, Heckman, Vafa; Marsano, Schafer-Nameki, Saulina; Blumehagen, Grimm, Jurke, Weigand;... M.C., Garcia-Etxebarria, Halverson 1003.5337...]

→ c.f. J. Marsano's; Luedeling's talk in the morning parallel session





## F-Theory and Instantons → Why Instantons?

Still there (though may not be needed for 10 10 5):  
other charged matter couplings w/hierarchical couplings, moduli  
stabilization, supersymmetry breaking

[M.C., I. Garcia-Etxebarria, J. Halverson 1107.2388;  
M.C., I. Garcia-Etxebarria, R. Richter 0911.0012]

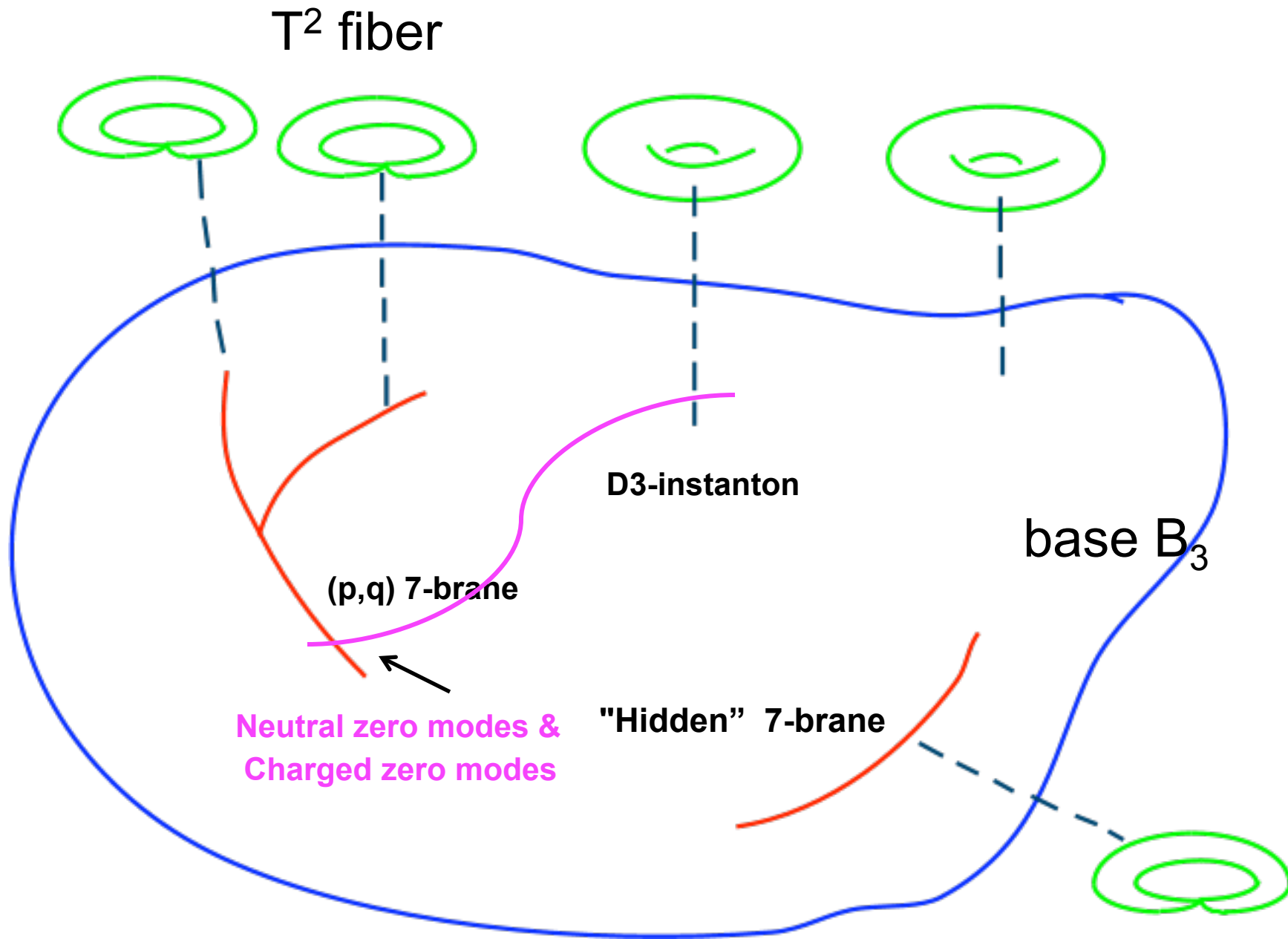
Goal: i) identify zero modes  
ii) quantitative superpotential  
(including exceptional singularity points)  
finite  $g_s \rightarrow$  techniques “indirect”

Three approaches:

- a) anomaly inflow - quantify neutral zero modes
- b) string junctions - neutral & charged zero modes
- c) F-/Heterotic duality - quantitative superpotential

Related Recent works (more focus on G-fluxes and  $U(1)$ 's):

[Marsano, Saulina, Schafer-Nameki 1107.1718;  
Bianchi, Collinucci, Martucci 1107.3732;  
Grimm, Kerstan, Palti, Weigand 1107.3842]



# Gauge Dynamics and Spectrum via String Junctions

## 7-branes in F-theory:

Complexified string coupling:

going around a D7-brane,  $\tau \mapsto \tau + 1$ .

$$\tau = C_0 + \frac{i}{g_s}$$

there are more general 7-branes, for which  $\tau \mapsto \frac{a\tau+b}{c\tau+d}$ . where

$$M = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, \mathbb{Z}).$$

if the cycle  $pA + qB$  pinches off in the auxiliary torus,  
corresponding brane is a  $(p,q)$  7-brane.

$$M = \begin{pmatrix} 1 + pq & -p^2 \\ q^2 & 1 - pq \end{pmatrix} \in SL(2, \mathbb{Z}).$$

Common building blocks:

$$A = (1, 0)$$



$$B = (1, -1)$$



$$C = (1, 1)$$



A-branes are D7-branes.

in F-theory, O7's split into B and C, separation set by  $g_s$ .

$$\rightsquigarrow \text{ so } \mathcal{M}_{O7} = M_C M_B.$$

from IIB, know 4 D7's on top of O7 has  $SO(8)$ , so

$$\rightsquigarrow \mathcal{M}_{SO(8)} = M_C M_B M_A^4 = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} \begin{array}{cccccc} \bullet & \bullet & \bullet & \bullet & \circ & \square \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} \end{array}$$

can also get exceptional branes, e.g.

$$\mathcal{M}_{E6} = M_A M_C^2 M_B M_A^4 = M_A M_C \mathcal{M}_{SO(8)} = \begin{pmatrix} -1 & 1 \\ -1 & 0 \end{pmatrix}.$$

# Neutral modes for F-theory O(1) instantons & SL(2,Z) monodromy

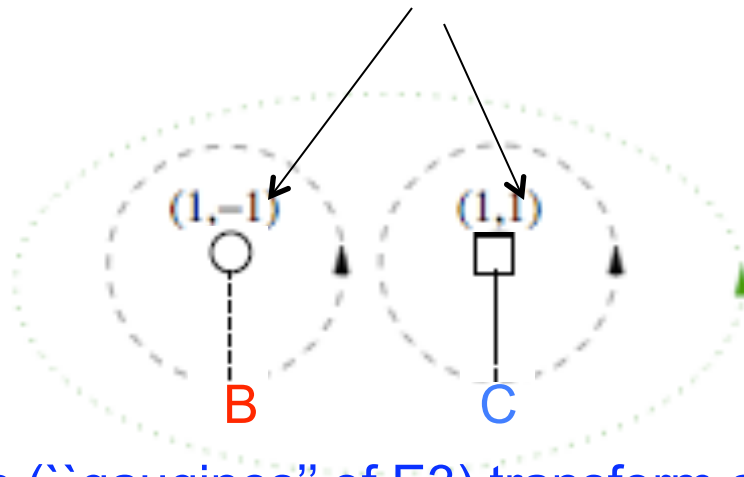
[M.C., I. Garcia-Etxebarria, R. Richter, 0911.0012]

Absence of  $\bar{\tau}$  in F-theory:

D3 O(1) instanton w/ O7- plane as complex co-dim 1 defects on the world-volume of D3 instanton:

O7 as two mutually non-local (p,q) 7-branes B & C [Sen'96]

w/ SL(2,Z) monodromy:



$$M_{(p,q)} = \begin{pmatrix} 1 - pq & p^2 \\ -q^2 & 1 + pq \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

Zero modes ("gauginos" of E3) transform as [Kapustin, Witten'06]

$$\begin{aligned} \theta &\rightarrow e^{i\varphi(M_{(p,q)})} \theta \\ \bar{\tau} &\rightarrow e^{-i\varphi(M_{(p,q)})} \bar{\tau} \end{aligned}$$

After the action around both defects



$$\theta \rightarrow \theta$$

$$\bar{\tau} \rightarrow -\bar{\tau} \quad \text{Projected out!}$$

[M.C., I. Garcia-Etxebarria, J. Halverson, 1107.2388]

## Neutral modes for F-theory O(1) instantons

Further quantified results for  $\theta$  modes via anomaly inflow:

Anomaly of the bulk CS action of intersecting D3-inst. and O7 $\leftrightarrow$   
Cancelled by zero modes at the intersection  
(precisely fixed by  $\theta$  modes, employing [Harvey, Royston'08])

Prototype: F-theory on elliptically fibered K3  $\rightarrow$  T<sup>2</sup> fibered over **P**<sup>1</sup>, w/Weierstrass:

$$y^2 = x^3 + fx + g \quad \text{discriminant: } \Delta = 4f^3 + 27g^2$$

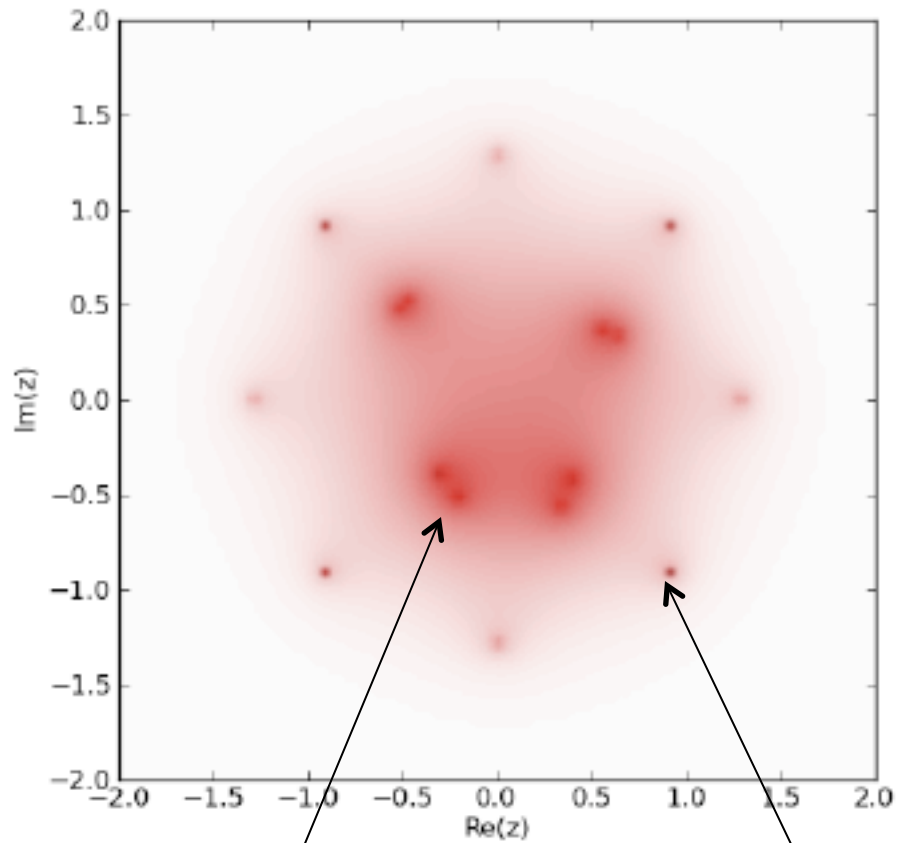
$$f \in H^0(\mathbb{P}^1, \mathcal{O}(4\bar{K}_{\mathbb{P}^1})) \text{ and } g \in H^0(\mathbb{P}^1, \mathcal{O}(6\bar{K}_{\mathbb{P}^1}))$$

$$\begin{aligned} \text{w/ deformation } \epsilon: \quad f &= -3h(z)^2 + \epsilon\eta(z) & h(z) &= \prod_{n=1}^4 (z - h_n) \\ g &= -2h(z)^3 + \epsilon h(z)\eta(z) & \eta(z) &= \prod_{n=1}^8 (z - \eta_n) \end{aligned}$$

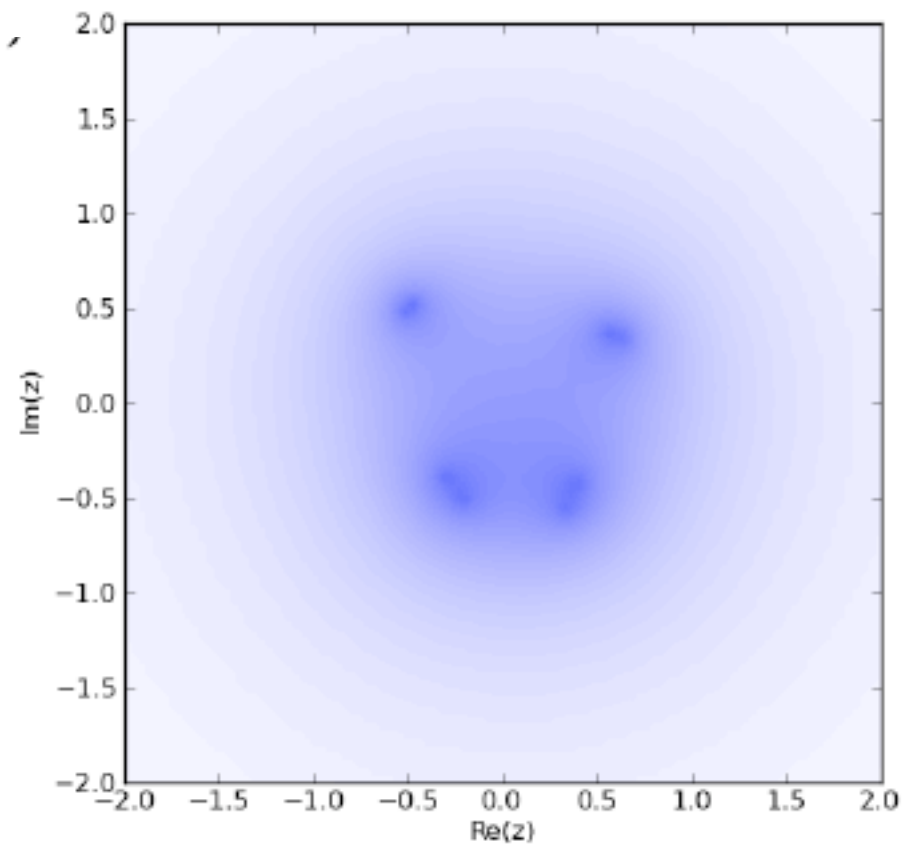
Geometrically: motion of 16 A [D7] & 4(B, C) [O7]–branes in **P**<sup>1</sup>

## Numerical Results:

discriminant  $\Delta$



$\theta$ -mode wave function



(B,C) [O7]-branes    A [D7]-branes



## Charged Zero Modes in E3-background:

D3 –instanton (complex dim 2) world-volume & w/ (p,q) 7- branes co-dim 1 defects moving as we move in moduli space.

Example w/(p,q) branes where the string coupling remains constant

Prototype: F-theory on elliptically fibered K3 w/ Weirstrass fibration:

$$y^2 = x^3 + fx + g \quad j(\tau) = \frac{4(24f)^3}{4f^3 + 27g^2}$$

$$f \in H^0(\mathbb{P}^1, \mathcal{O}(4\overline{K}_{\mathbb{P}^1})) \text{ and } g \in H^0(\mathbb{P}^1, \mathcal{O}(6\overline{K}_{\mathbb{P}^1}))$$

$$f(z) = \alpha(\phi(z))^2 \text{ and } g(z) = \phi(z)^3$$

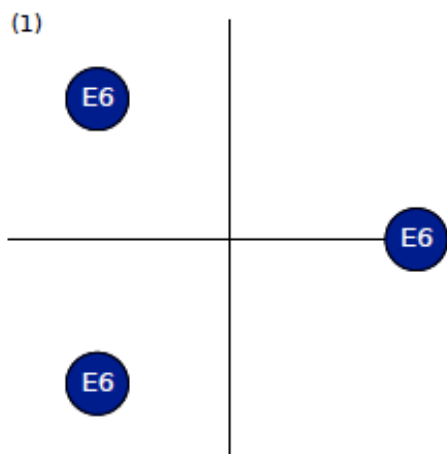
$$\text{with } \phi(z) = \prod_{i=1}^4 (z - z_i) \quad j(\tau) = \frac{55296\alpha^3}{4\alpha^3 + 27} \quad \text{Constant } \tau = e^{\frac{\pi i}{3}} !$$

## Motion in (complex structure) moduli space

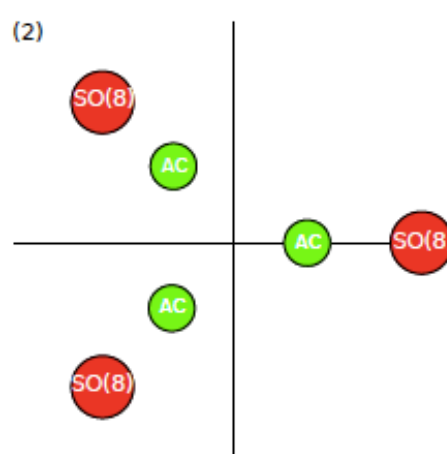
recall  $E6 = A^5 BC^2$  and  $SO(8) = A^4 BC$

$$f = 0$$

$$g = \prod_{n \in \{0,1,2\}} (z - e^{\frac{2\pi n i}{3}})^3 (z - \beta e^{\frac{2\pi n i}{3}})$$



$$\beta = 1$$



$$\beta \text{ intermediate}$$



$$\beta = 0$$

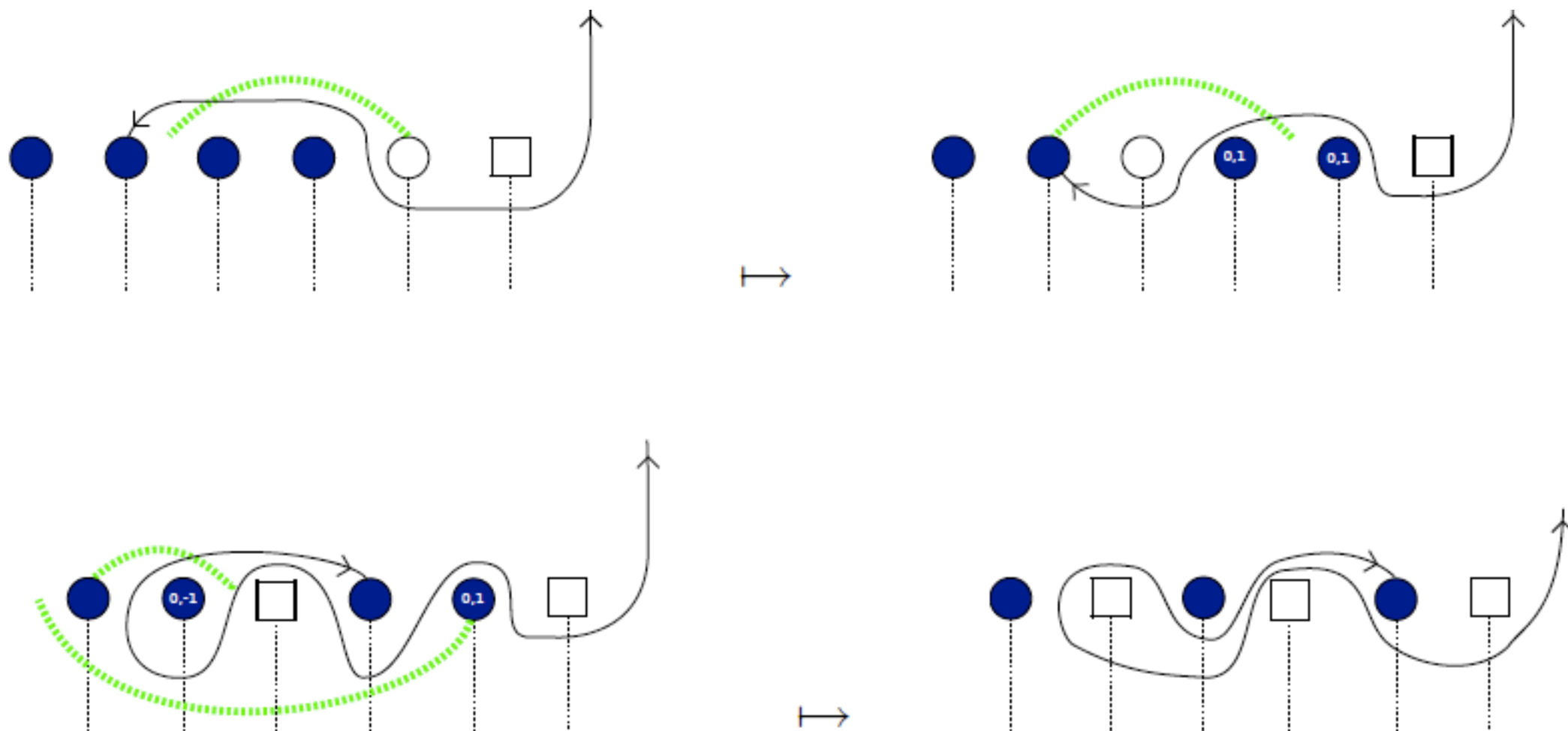
comments

- at  $\beta = 0$ , can go to weak coupling and then split to 16 D7 + 4 O7
- **summary:** smoothly go  $E6^3 \rightarrow SO(8)^4 \rightarrow 16 \text{ D7} + 4 \text{ O7}$  at  $g_s \ll 1$ , constant coupling the whole way.

$$ACACAC \leftrightarrow A^4BC$$

**complication:** fourth  $SO(8)$  appears as  $ACACAC$ , not  $A^4BC$ .

⤿ Need to “untangle” to understand junctions



In the D3-instanton background (p,q) branes move and the spectrum of zero charged modes changes:

Starting with 3 **27**'s at  $E6^3$  point.

Pulling  $AC$  off each  $E6$ , the strings in **27** which don't get a mass leave behind precisely an  $\mathbf{8}_v$  of  $SO(8)$ .

Each  $AC$  has a string connected to the A-brane. Together with 5 massive states which become massless when the 3  $AC$ 's come together, they form an  $\mathbf{8}_v$  of  $SO(8)$ .

Pushing to weak coupling and splitting  $SO(8)$  to  $16D7 + 4O7$ , we get standard charged modes, i.e. D7-D3 strings.

Neutral and charged zero modes understood, including at exceptional symmetry points in moduli space!

How about quantitative superpotential?

Insights from Heterotic/F-theory duality

no time

move in vector bundle moduli space, can get jumps in zero modes which cause superpotential to vanish.



on F side, vanishing of instanton superpotential corresponds to brane movement / enhancement

Foresee further progress:

- a) DEVELOPMENT of TECHNIQUES! → generalize constructions to general Calabi Yau spaces (advanced algebraic geometry techniques);  
Fluxes in F-theory
- b) Quantitatively improve realistic model constructions, including further progress on non-perturbative effects

# Conclusions/Outlook

“Glimpses” of particle physics from String Theory  
Focus on D-branes → Type II and F-theory

a) Progress: development of techniques for constructions  
Sizable number of semi-realistic models

b) “The devil is in the details!”-typically not fully realistic  
typically exotic matter → but viewed as a string prediction

MANY SOLUTIONS! Which one is our world?

 input from Fermilab & Large Hadron Collider

Hopefully, with this input and theoretical developments  
fully realistic particle physics from string theory  
w/ efforts presented here playing a role